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Washington, D.C. 20231

2161  
PATENT  
Attorney Docket No.: 16222U-008400US

*Present in control file*

On 10-29-02

TOWNSEND and TOWNSEND and CREW LLP

By: Carol A. Mendel

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GROUP 3600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD AND SYSTEM FOR  
CONDUCTING A COMMERCIAL  
TRANSACTION BETWEEN A BUYER  
AND A SELLER

Examiner:

Art Unit: 2161

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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Technology Center 2100

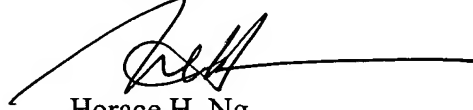
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Horace H. Ng  
Reg. No. 39,315

TOWNSEND and TOWNSEND and CREW LLP  
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SF 1390567 v1



PTO/SB/08B (10-01)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

8

**Complete if Known**

Application Number	10/020,466
Filing Date	October 29, 2001
First Named Inventor	Vaux, Jonathan, et. al.
Art Unit	2161
Examiner Name	
Attorney Docket Number	16222U-008400US

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	US-3,990,558		11/09/1976	Ehrat	
	AB	US-4,001,568		01/01/1997	Iizuka et al.	
	AC	US-4,116,469		09/26/1978	Harriman et al.	
	AD	US-4,280,037		07/21/1981	Schmidhauser	
	AE	US-4,325,277		04/20/1982	Uchida et al.	
	AF	US-4,360,727		11/23/1982	Lehmann	
	AG	US-4,370,649		01/25/1983	Fuerle	
	AH	US-4,480,737		11/06/1984	Jamgochian et al.	
	AI	US-4,545,475		10/08/1985	Filloid et al.	
	AJ	US-4,577,061		03/18/1986	Katzeff et al.	
	AK	US-4,585,936		04/29/1986	Sellier	
	AL	US-4,607,335		08/19/1986	Mizuno	
	AM	US-4,675,515		06/23/1987	Lucero	
	AN	US-4,713,761		12/15/1987	Sharpe et al.	
	AO	US-4,796,193		01/03/1989	Pitchenik	
	AP	US-4,797,540		01/10/1989	Kimizu	
	AQ	US-4,822,984		04/18/1989	Remery et al.	
	AR	US-4,858,121		08/15/1989	Barber et al.	
	AS	US-4,860,946		08/29/1989	Braud	
	AT	US-4,864,110		09/05/1989	Guillou	
	AU	US-4,890,228		12/26/1989	Longfield	
	AV	US-4,893,237		01/09/1990	Unno	
	AW	US-4,906,826		03/06/1990	Spencer	
	AX	US-4,920,256		04/24/1990	Marty et al.	
	AY	US-4,939,351		07/03/1990	Alaux et al.	
	AZ	US-4,947,028		08/07/1990	Gorog	
	BA	US-4,972,463		11/20/1990	Danielson et al.	
	BB	US-4,974,878		12/04/1990	Josephson	
	BC	US-5,003,585		03/26/1991	Richer	
	BD	US-5,007,084		04/09/1991	Materna et al.	
	BE	US-5,055,657		10/08/1991	Miller et al.	
	BF	US-5,056,645		10/15/1991	Vidondo	
	BG	US-5,134,656		07/28/1992	Kudelski	
	BH	US-5,136,632		08/04/1992	Bernard	
	BI	US-5,191,193		03/02/1993	Le Roux	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **2** of **8**

## Complete if Known

Application Number	10/020,466
Filing Date	October 29, 2001
First Named Inventor	Vaux, Jonathan, et. al.
Art Unit	2161
Examiner Name	
Attorney Docket Number	16222U-008400US

## U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	BJ	US-5,192,855		03/09/1993	Insulander et al.	
	BK	US-5,193,057		03/09/1993	Longfield	
	BL	US-5,216,620		06/01/1993	Sansone	
	BM	US-5,222,018		06/22/1993	Sharpe et al.	
	BN	US-5,225,977		07/06/1993	Hooper et al.	
	BO	US-5,237,159		08/17/1993	Stephens et al.	
	BP	US-5,255,182		10/19/1993	Adams	
	BQ	US-5,258,906		11/02/1993	Kroll et al.	
	BR	US-5,269,521		12/14/1993	Rossides	
	BS	US-5,284,253		02/08/1994	Watt et al.	
	BT	US-5,285,883		02/15/1994	Le Hong et al.	
	BU	US-5,289,923		03/01/1994	Coblentz et al.	
	BV	US-5,291,304		03/01/1994	Horii et al.	
	BW	US-5,297,030		03/22/1994	Vassigh et al.	
	BX	US-5,297,674		03/29/1994	Birutis et al.	
	BY	US-5,305,383		04/19/1994	Guillou et al.	
	BZ	US-5,315,511		05/24/1994	Matsuura et al.	
	CA	US-5,336,870		08/09/1994	Hughes et al.	
	CB	US-5,351,994		10/04/1994	Pollin	
	CC	US-5,359,183		10/25/1994	Skodlar	
	CD	US-5,359,509		10/25/1994	Little et al.	
	CE	US-5,375,172		12/20/1994	Chrosny	
	CF	US-5,383,113		01/17/1995	Kight et al.	
	CG	US-5,387,784		02/07/1995	Sarradin	
	CH	US-5,403,025		04/04/1995	Shanley	
	CI	US-5,412,190		05/02/1995	Josephson et al.	
	CJ	US-5,412,191		05/02/1995	Baitz et al.	
	CK	US-5,412,886		05/09/1995	Quinn	
	CL	US-5,424,938		06/13/1995	Wagner et al.	
	CM	US-5,472,116		12/05/1995	Barbe et al.	
	CN	US-5,478,993		12/26/1995	Derksen	
	CO	US-5,479,510		12/26/1995	Olsen et al.	
	CP	US-5,491,325		02/13/1996	Huang et al.	
	CQ	US-5,492,212		02/20/1996	Fillod et al.	
	CR	US-5,504,677		04/02/1996	Pollin	

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Examiner Signature		Date Considered	
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet **3** of **8****Complete if Known**

Application Number	10/020,466
Filing Date	October 29, 2001
First Named Inventor	Vaux, Jonathan, et. al.
Art Unit	2161
Examiner Name	
Attorney Docket Number	16222U-008400US

**U.S. PATENT DOCUMENTS**

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		Number Kind Code <sup>2</sup> (if known)			
	CS	US-5,532,464	07/02/1996	Josephson et al.	
	CT	US-5,532,920	07/02/1996	Hartrick et al.	
	CU	US-5,536,923	07/16/1996	Foglino	
	CV	US-5,575,374	11/19/1996	Orus et al.	
	CW	US-5,580,310	12/03/1996	Orus et al.	
	CX	US-5,583,759	12/10/1996	Geer	
	CY	US-5,586,036	12/17/1996	Pintsov	
	CZ	US-5,590,196	12/31/1996	Moreau	
	DA	US-5,590,197	12/31/1996	Chen et al.	
	DB	US-5,591,949	01/07/1997	Bernstein	
	DC	US-5,614,892	03/25/1997	Ward, II et al.	
	DD	US-5,620,182	04/15/1997	Rossides	
	DE	US-5,635,695	06/03/1997	Feiken	
	DF	US-5,637,846	06/10/1997	Boers et al.	
	DG	US-5,637,848	06/10/1997	Lewiner et al.	
	DH	US-5,652,786	07/29/1997	Rogers	
	DI	US-5,655,023	08/05/1997	Cordery et al.	
	DJ	US-5,671,364	09/23/1997	Turk	
	DK	US-5,675,650	10/07/1997	Cordery et al.	
	DL	US-5,691,524	11/25/1997	Josephson	
	DM	US-5,697,482	12/16/1997	Orus et al.	
	DN	US-5,699,528	12/16/1997	Hogan	
	DO	US-5,704,045	12/30/1997	King et al.	
	DP	US-5,706,925	01/13/1998	Orus et al.	
	DQ	US-5,715,298	02/03/1998	Rogers	
	DR	US-5,717,868	02/10/1998	James	
	DS	US-5,727,249	03/10/1998	Pollin	
	DT	US-5,729,460	03/17/1998	Plett et al.	
	DU	US-5,739,511	04/14/1998	Pedersen	
	DV	US-5,740,247	04/14/1998	Violante et al.	
	DW	US-5,748,908	05/05/1998	Yu	
	DX	US-5,754,655	05/19/1998	Hughes et al.	
	DY	US-5,757,917	05/26/1998	Rose et al.	
	DZ	US-5,761,308	06/02/1998	Torii et al.	
	EA	US-5,768,132	06/16/1998	Cordery et al.	

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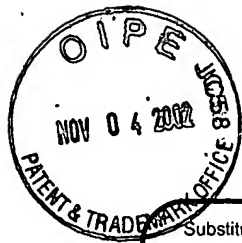
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 4 of 8

**Complete if Known**

Application Number	10/020,466
Filing Date	October 29, 2001
First Named Inventor	Vaux, Jonathan, et. al.
Art Unit	2161
Examiner Name	
Attorney Docket Number	16222U-008400US

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		Number	Kind Code <sup>2</sup> (if known)			
	EB	US-5,778,067		07/07/1998	Jones et al.	
	EC	US-5,783,808		07/21/1998	Josephson	
	ED	US-5,808,723		09/15/1998	Klees	
	EE	US-5,812,945		09/22/1998	Hansen et al.	
	EF	US-5,816,174		10/06/1998	Smith et al.	
	EG	US-5,819,228		10/06/1998	Spiro	
	EH	US-5,819,236		10/06/1998	Josephson	
	EI	US-5,819,239		10/06/1998	Berson et al.	
	EJ	US-5,826,247		10/20/1998	Pintsov et al.	
	EK	US-5,832,089		11/03/1998	Kravitz et al.	
	EL	US-5,832,460		11/03/1998	Bednar et al.	
	EM	US-5,842,183		11/24/1998	Delfer, III et al.	
	EN	US-5,857,152		01/05/1999	Everett	
	EO	US-5,870,456		02/09/1999	Rogers	
	EP	US-5,873,072		02/16/1999	Kight et al.	
	EQ	US-5,880,454		03/09/1999	Monicault	
	ER	US-5,883,810		03/16/1999	Franklin et al.	
	ES	US-5,884,288		03/16/1999	Chang et al.	
	ET	US-5,890,137		03/30/1999	Koreeda	
	EU	US-5,893,902		04/13/1999	Transue et al.	
	EV	US-5,893,907		04/13/1999	Ukuda	
	EW	US-5,903,880		05/11/1999	Biffar	
	EX	US-5,905,976		05/18/1999	Mjolsnes et al.	
	EY	US-5,907,832		05/25/1999	Pieterse et al.	
	EZ	US-5,909,492		06/01/1999	Payne et al.	
	FA	US-5,914,472		06/22/1999	Foladare et al.	
	FB	US-5,924,084		07/13/1999	De Rooij	
	FC	US-5,930,778		07/27/1999	Geer	
	FD	US-5,936,219		08/10/1999	Yoshida et al.	
	FE	US-5,943,656		08/24/1999	Crooks et al.	
	FF	US-5,946,669		08/31/1999	Polk	
	FG	US-5,963,921		10/05/1999	Longfield	
	FH	US-5,963,924		10/05/1999	Williams et al.	
	FI	US-5,963,925		10/05/1999	Kolling et al.	
	FJ	US-5,966,698		10/12/1999	Pollin	

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Sheet **5** of **8****Complete if Known**

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Filing Date	October 29, 2001
First Named Inventor	Vaux, Jonathan, et. al.
Art Unit	2161
Examiner Name	
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		Number	Kind Code <sup>2</sup> (if known)			
	FK	US-5,973,619		10/26/1999	Paredes	
	FL	US-5,974,145		10/26/1999	Feiken	
	FM	US-5,974,146		10/26/1999	Randle et al.	
	FN	US-5,978,780		11/02/1999	Watson	
	FO	US-5,978,840		11/02/1999	Nguyen et al.	
	FP	US-5,983,207		11/09/1999	Turk et al.	
	FQ	US-5,983,208		11/09/1999	Haller et al.	
	FR	US-5,987,132		11/16/1999	Rowney	
	FS	US-5,987,140		11/16/1999	Rowney et al.	
	FT	US-5,991,411		11/23/1999	Kaufman et al.	
	FU	US-5,991,412		11/23/1999	Wissenburgh et al.	
	FV	US-5,995,626		11/30/1999	Nishioka et al.	
	FW	US-5,999,625		12/07/1999	Bellare et al.	
	FX	US-6,006,199		12/21/1999	Berlin et al.	
	FY	US-6,012,048		01/04/2000	Gustin et al.	
	FZ	US-6,012,740		01/11/2000	Lupi	
	GA	US-6,014,646		01/11/2000	Vallee et al.	
	GB	US-6,016,484		01/18/2000	Williams et al.	
	GC	US-6,016,955		01/25/2000	De Rooij et al.	
	GD	US-6,021,943		02/08/2000	Chastain	
	GE	US-6,029,150		02/22/2000	Kravitz	
	GF	US-6,038,547		03/14/2000	Casto	
	GG	US-6,038,548		03/14/2000	Kamil	
	GH	US-6,041,315		03/21/2000	Pollin	
	GI	US-6,044,362		03/28/2000	Neely	
	GJ	US-6,047,267		04/04/2000	Owens et al.	
	GK	US-6,047,269		04/04/2000	Biffar	
	GL	US-6,049,785		04/11/2000	Gifford	
	GM	US-6,049,786		04/11/2000	Smorodinsky	
	GN	US-6,052,671		04/18/2000	Crooks et al.	
	GO	US-6,052,674		04/18/2000	Zervides et al.	
	GP	US-6,056,322		05/02/2000	Lupi	
	GQ	US-6,058,380		05/02/2000	Anderson et al.	
	GR	US-6,058,382		05/02/2000	Kasai et al.	
	GS	US-6,061,665		05/09/2000	Bahreman	

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Art Unit	2161
Examiner Name	
Attorney Docket Number	16222U-008400US

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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**Complete if Known**

<b>Application Number</b>	10/020,466
<b>Filing Date</b>	October 29, 2001
<b>First Named Inventor</b>	Vaux, Jonathan, et. al.
<b>Art Unit</b>	2161
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	16222U-008400US

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Exa min er	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
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	IV				

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Sheet

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of

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### Complete if Known

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First Named Inventor	Vaux, Jonathan, et. al.
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### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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